



# EO for Africa Symposium 2024

23 - 26 September 2024  
ESA | ESRIN, Frascati (IT)

Session: Water and Food Nexus  
24/09/2014

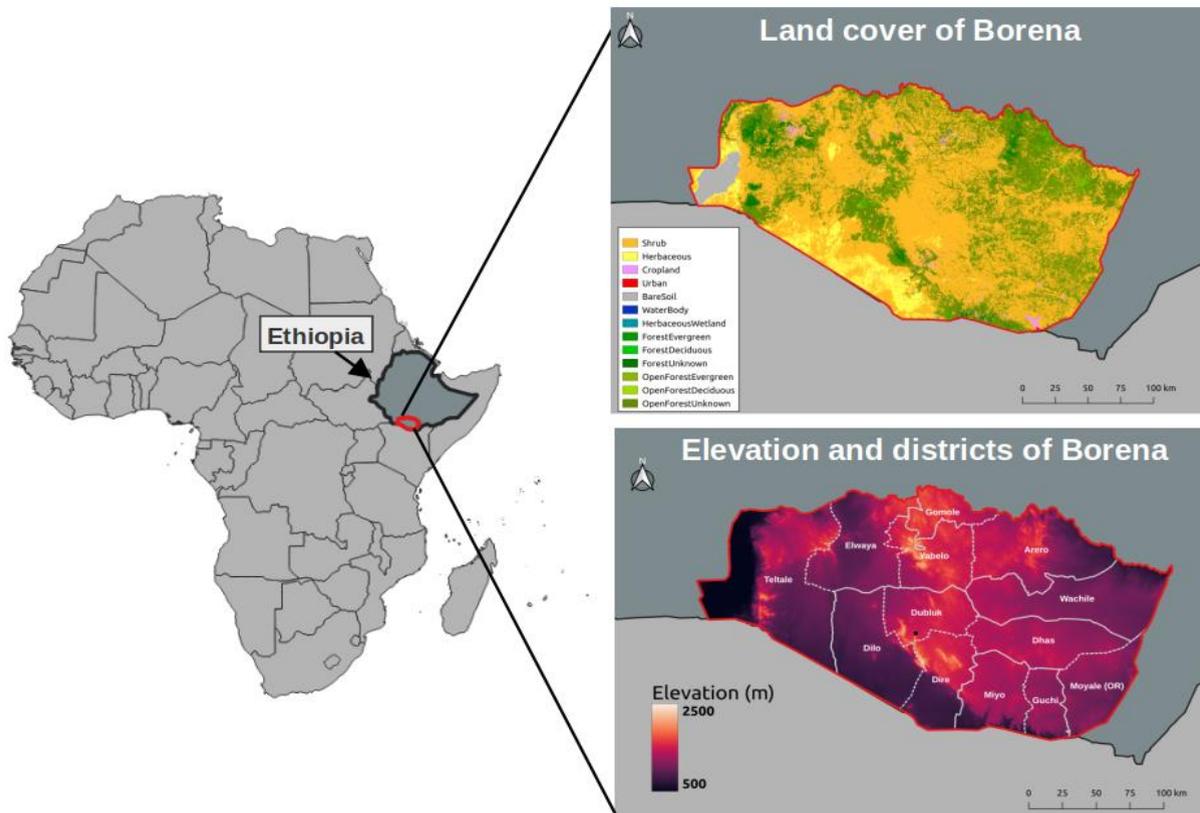


## An Open-Source Earth Observation-based Composite Drought Indicator for the Borena region in Southern Ethiopia

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## Borena, Southern Ethiopia



Mean Annual Precipitation ~ 350 mm/year

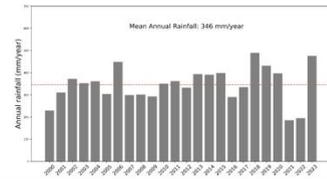
Two main rainy seasons: *March to May* and *Oct to Nov*

- **Semi-arid region** in Southern Ethiopia
- **Rangelands:** High dependence on pastoral activities
- **Recent drought events (2019-2022)** have devastated the region
  - Large impacts on **food security** and **livelihoods** of ago-pastoral communities
  - Loss of > 1.5 million livestock animals



Source: [European Civil Protection and Humanitarian Aid Operations, 2024](#)

# Borena, Ethiopia: challenges and limitations



Climate change

Food Scarcity

Socio-economic impacts

Irregular Rainfall patterns

Lack of water for livestock and crops

Increased risk of malnutrition and financial losses

Challenges

Mitigation strategies?

Limitations

Data scarcity

Technological limits

Models poorly suited for rangelands

Limited data for informed decision making and early warning system

Reliance on in-situ measurements (low spatio-temporal resolution)  
Capacity building for implementation of new EO technologies

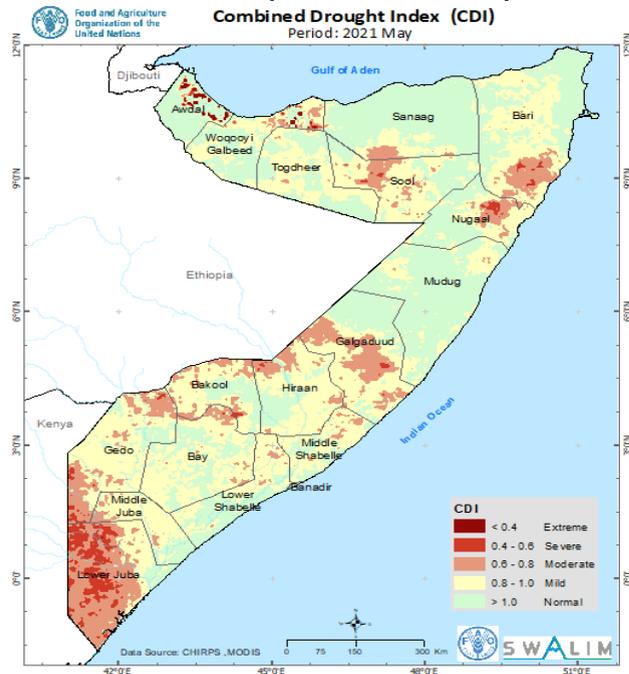
Earth Observation (EO) models often not designed nor evaluated over semi-arid conditions of rangelands

- **Drought occurrence** related to **many factors**
  - Drought are difficult to define (i.e. meteo vs agricultural vs hydrological)
  - Precipitation, temperature, soil moisture, vegetation health
- Importance to **regularly monitor** and **analyze** these factors
  - Often undetected and gradually intensify over time → need to capture early for effective response
- **Earth observation (EO) datasets** highly suitable to improve drought monitoring
  - Most drought indicators are based on meteo (i.e. SPEI)
  - EO methods especially useful to integrate vegetation response and agricultural impacts

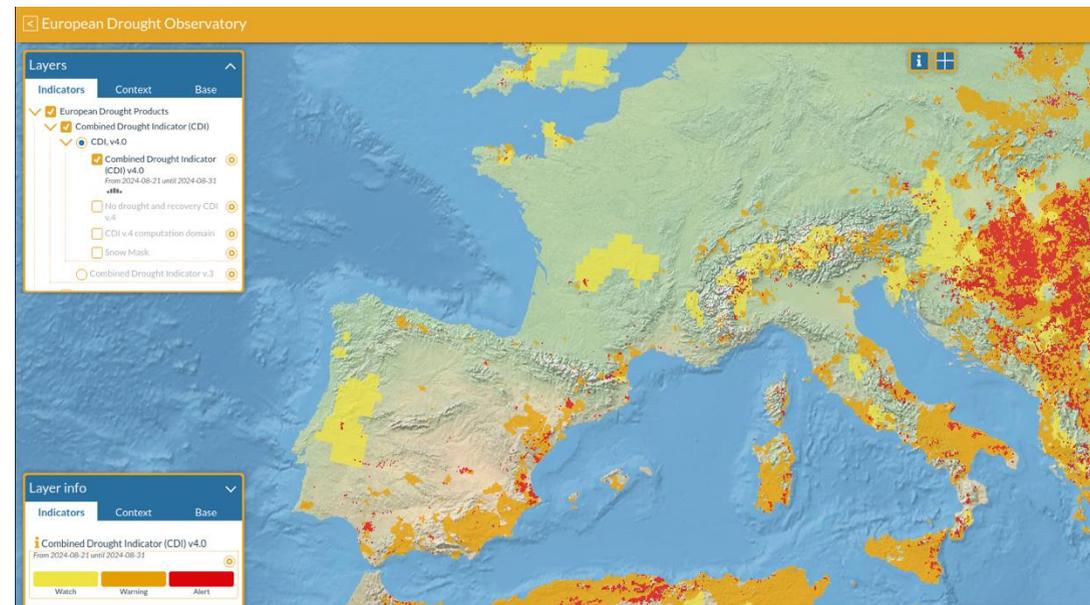
# Composite Drought Index (CDI)



- The **CDI** integrates **many variables** into one combined index
- Aims to capture **cause and effect of meteorological drought and agricultural/ecological impact**
  - **Simple to implement** and easy to communicate to policymakers and general public
- Used by **FAO-SWALIM** (in Somalia) and **European Drought Observatory (EDO)**

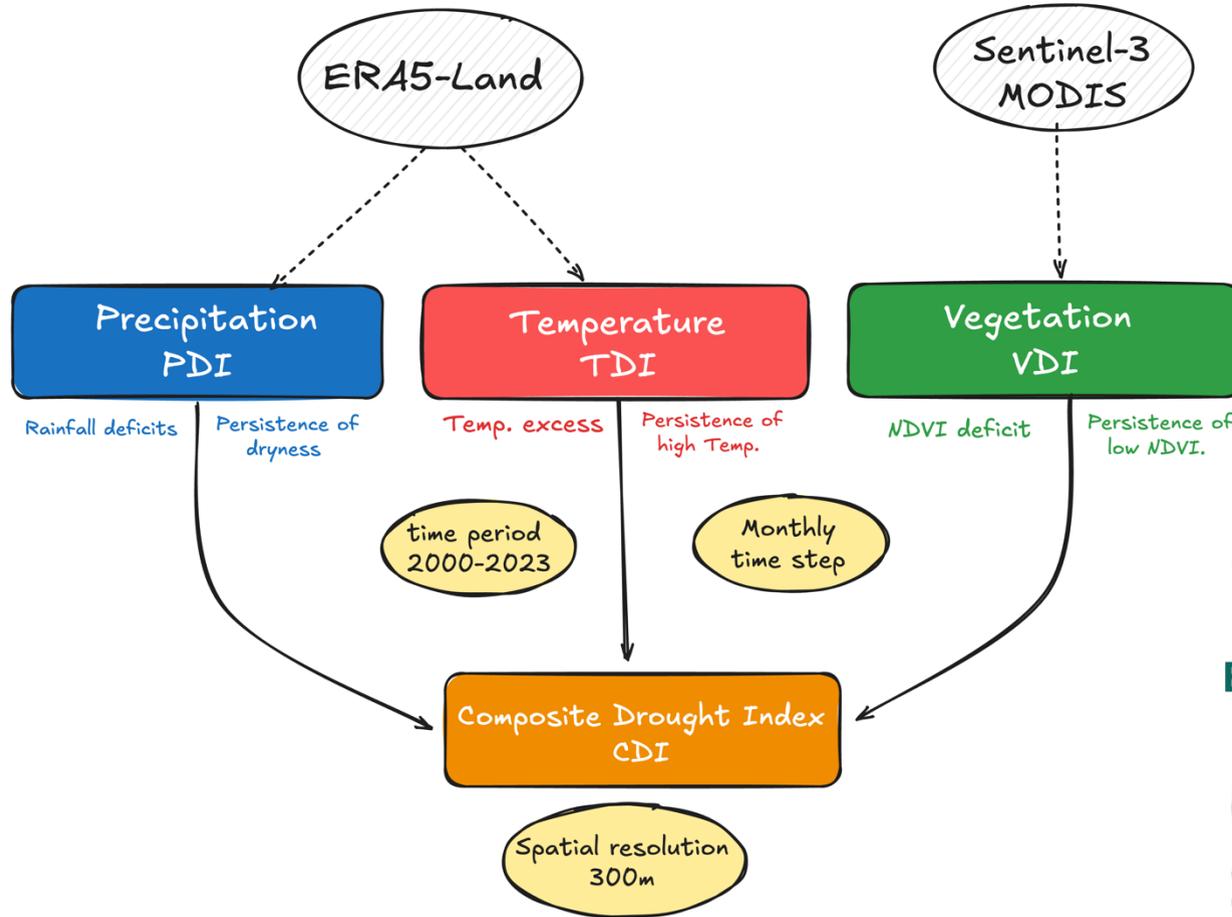


$$\text{CDI} = f(\text{Precip}, \text{Ta}, \text{NDVI})$$



$$\text{CDI} = f(\text{Precip}, \text{soil moisture}, \text{FaPAR})$$

# CDI for Borena region



<https://github.com/VicenteBurchard/pyCDI>



- **EO-DBE: 1-year EO AFRICA R&D project**
  - Ethiopian and Spanish collaboration
- **Develop open-source CDI for the Borena region based on Copernicus datasets**
  - ERA5 and Sentinel constellation
  - **Cloud-based satellite inputs** to easily apply and upscale to other regions
  - **Jupyter notebook** to document, disseminate and run pyCDI

STAC SpatioTemporal Asset Catalogs

The STAC specification is a common language to describe geospatial information, so it can more easily be worked with, indexed, and discovered.

0. import libraries

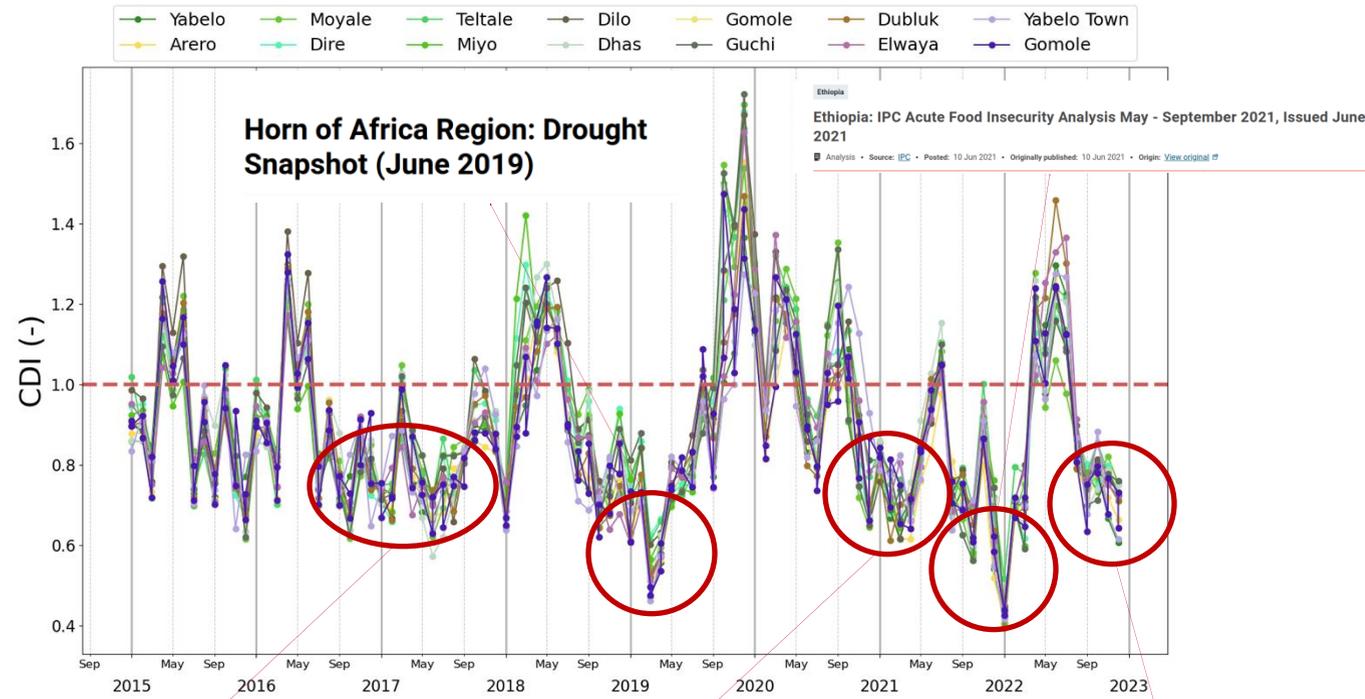
```

Before beginning, make sure to view the readme and install all the dependencies in

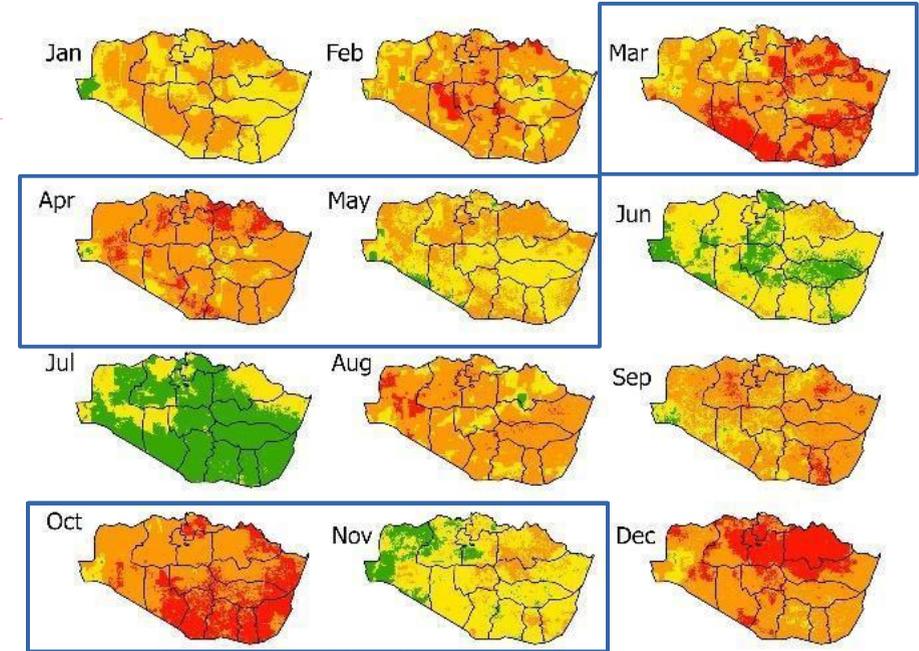
11: from pathlib import Path
from cubo import cubo
from rasterio.crs import CRS
import gdal_utils as gu
import matplotlib.pyplot as plt
import pandas as pd
import numpy as np
from pyCDI import cdi_functions as cdi
import xarray as xr
import ee
print('libraries imported correctly!')
libraries imported correctly

1. PDI estimation
  
```

# CDI Results



## CDI results for 2021



Ethiopia  
Mid-Year Review, Ethiopia Humanitarian Requirements Document, July 2017

Analysis • Sources: Govt\_Ethiopia, OCHA • Posted: 9 Aug 2017 • Originally published: 8 Aug 2017

World + 22 more

**Hunger Hotspots: FAO-WFP early warnings on acute food insecurity, March to July 2021 outlook**

Analysis • Sources: FAO, WFP • Posted: 23 Mar 2021 • Originally published: 23 Mar 2021 • Origin: View original



News | Drought

**In southern Ethiopia, drought kills livestock and brings hunger**

Nearly 12 million people, a 10th of the population, are at risk of famine in Ethiopia's drought-affected areas.

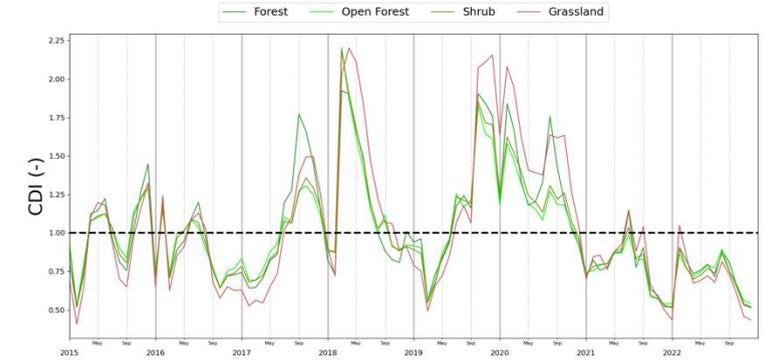


# Spatio-temporal trends

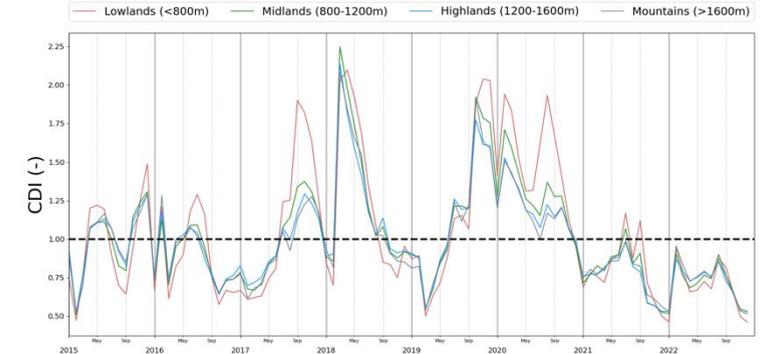


- **Mark-Kendall test** and **Sen's Slope** revealed negative trends in **P** and **CDI**
  - Non-parametric test to analyze trends
  - Most of Borena: **negative Sen's slope** (magnitude of linear trend)
  - Decreasing CDI → Increasing trend of drought occurrence and severity
- **Lowest CDI values over grasslands and lowlands (<800m)**
  - Highest drought vulnerability for these regions

**Average CDI values per vegetation classes**  
(Forest, Open Forest, Shrub and Grasslands)

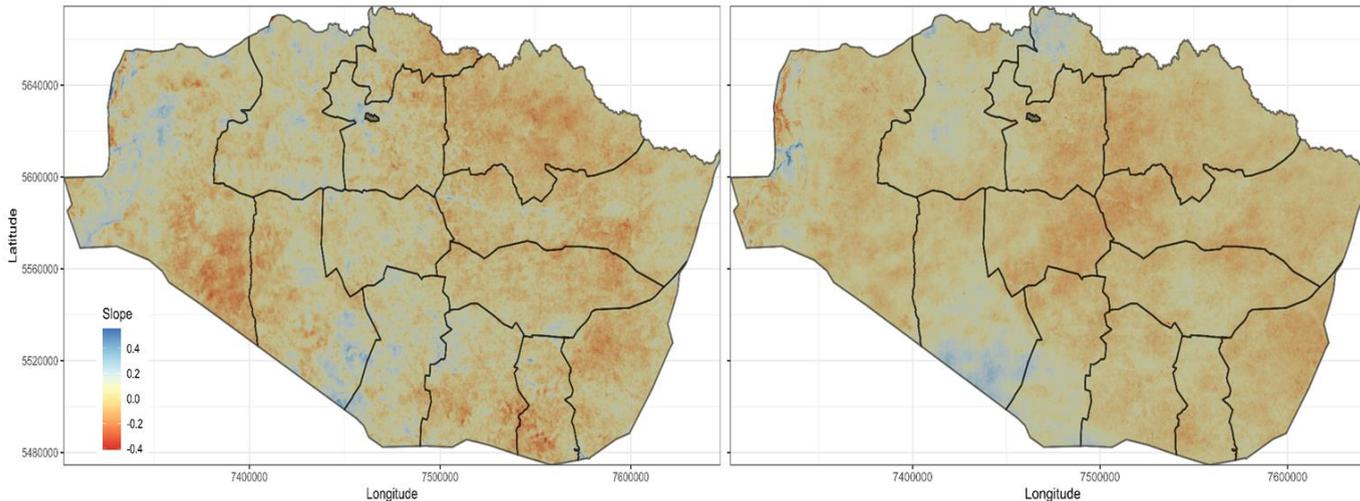


**Average CDI values for elevation classes**  
(lowlands, midlands, highlands and mountains)



Rainfall Trend (2000 - 2023)

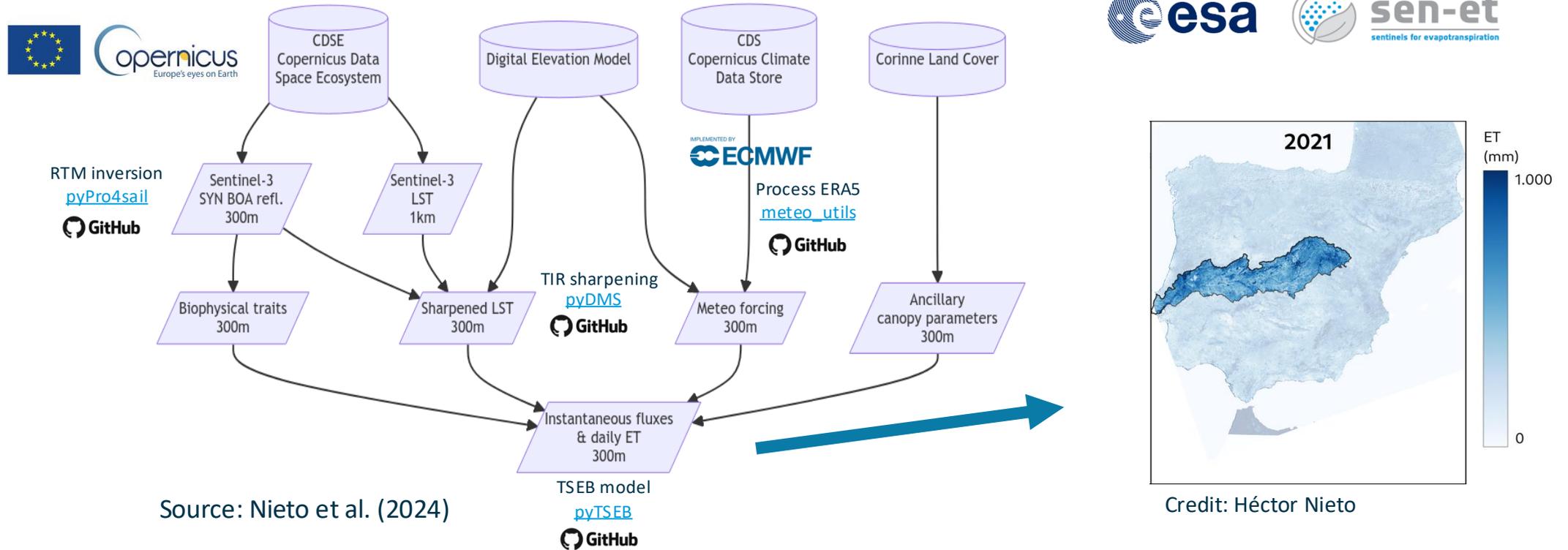
CDI Trend (2000 - 2023)



# Next steps: improving CDI with ET



- Studies<sup>1</sup> have shown that **evapotranspiration (ET)** has faster response to water deficit compared to NDVI (especially for **semi-arid regions**)
- **Open-source processing chain to ingest Sentinel-3 (SYN + LST) imagery for energy balance models** – building upon **Sen-ET/ET4FAO** approach

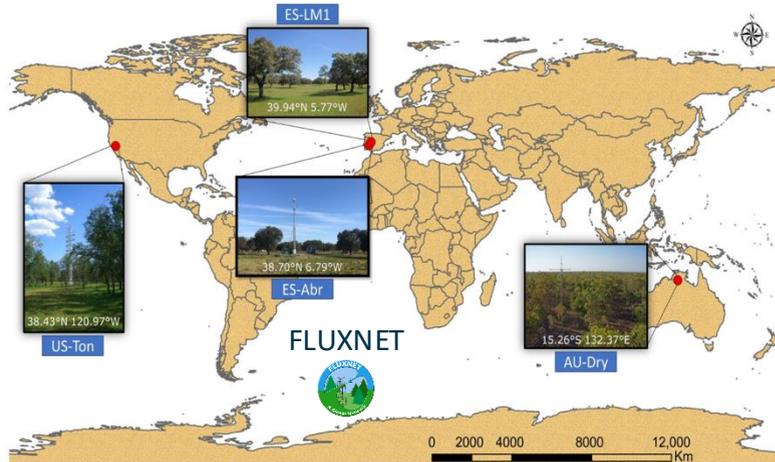
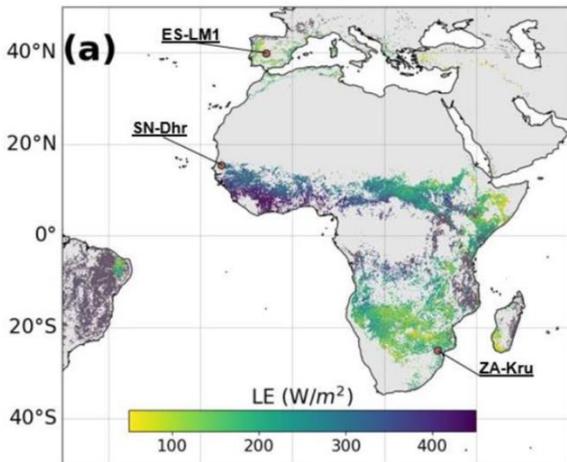
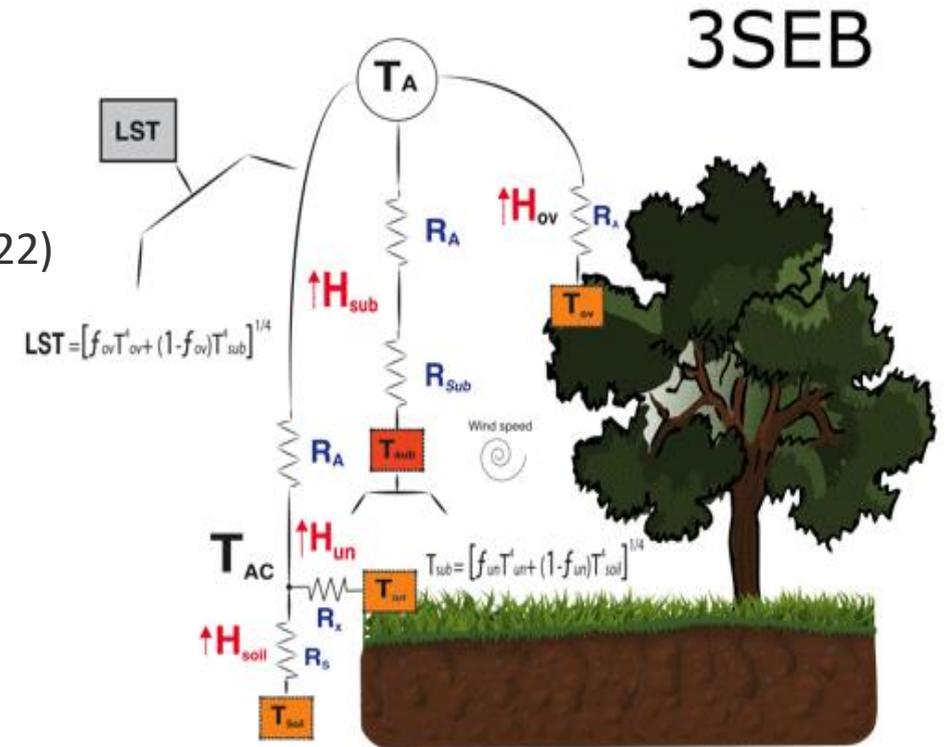


<sup>1</sup>Joiner, J., Yoshida, Y., Anderson, M., Holmes, T., Hain, C., Reichle, R., Koster, R., Middleton, E., & Zeng, F.-W. (2018). Global relationships among traditional reflectance vegetation indices (NDVI and NDII), evapotranspiration (ET), and soil moisture variability on weekly timescales. Remote Sensing of Environment, 219, 339–352. <https://doi.org/10.1016/j.rse.2018.10.020>

# Three-Source Energy Balance Model (3SEB)



- **3SEB**, an adapted TSEB, more suited for complex rangeland and agro-forested ecosystems
- Applied at both in-situ **tower footprint** and **geostationary scales** (MSG-SEVIRI ~5 km)
  - Improved over state-of-the-art models (Burchard-Levine et al. 2022)
- Developing **Sentinel/Copernicus**-based processing chain with 3SEB (20/300 m)



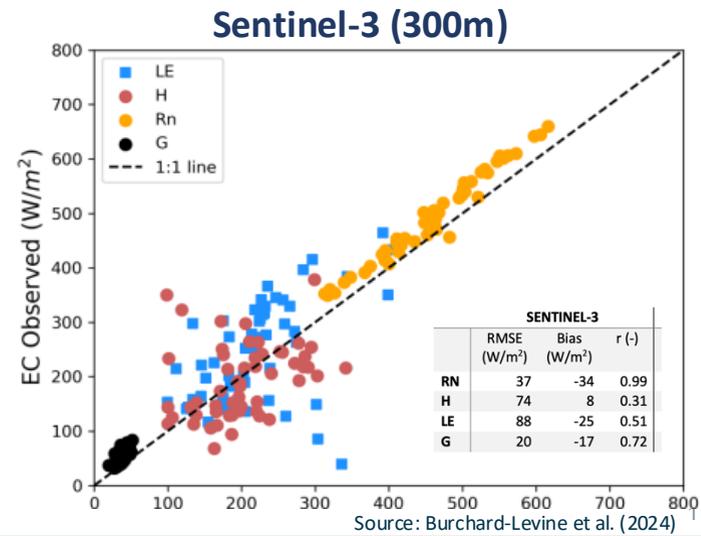
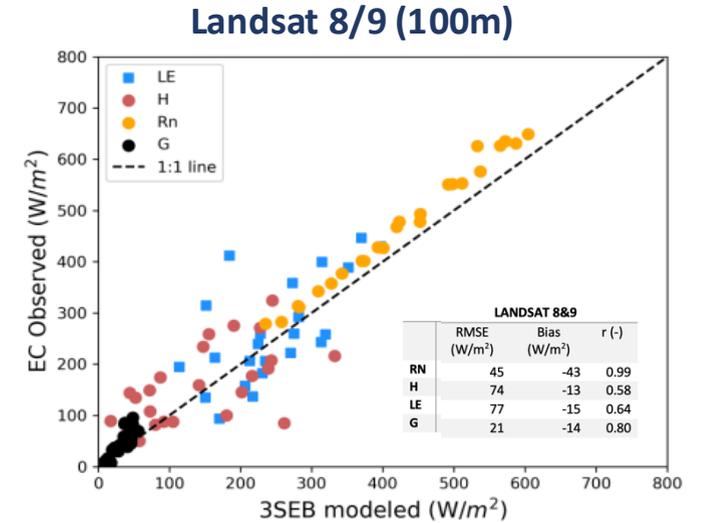
Python code available at:  
<https://github.com/VicenteBurchard/py3SEB>

Source: Burchard-Levine et al. (2022)

# Preliminary evaluation: Copernicus-based 3SEB

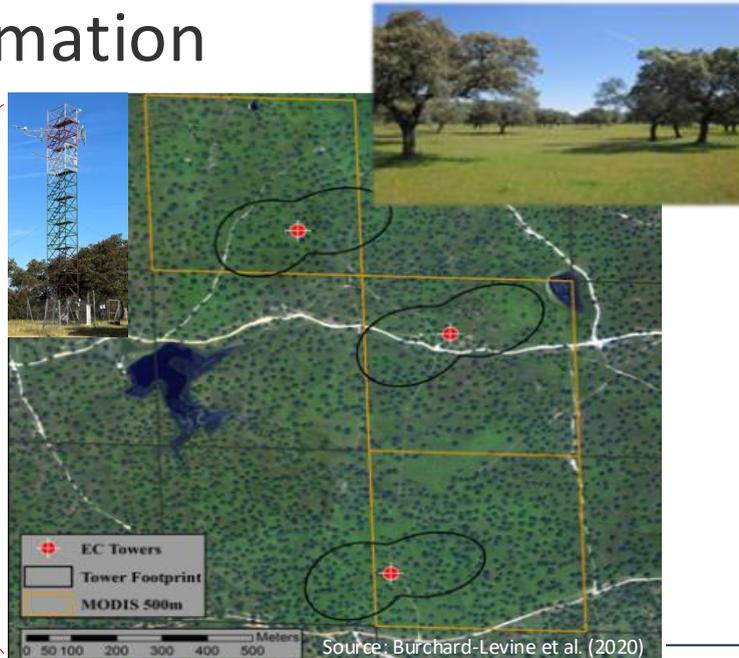
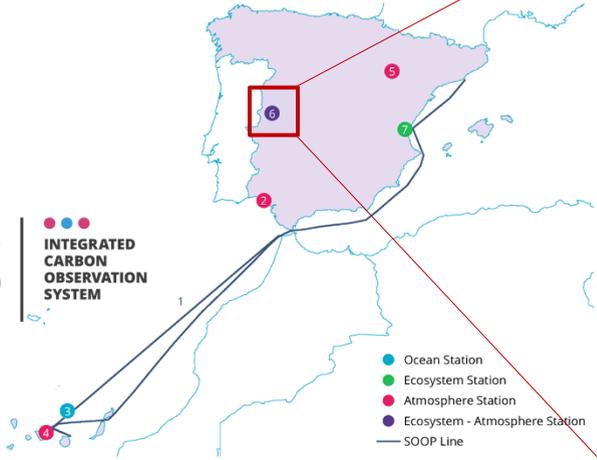


- Majadas de Tiétar (ES-LMa) site
  - Tree-grass ecosystem (savanna-like)
- Sharpened Sentinel-3 (SYN+SLSTR) and Landsat 8/9
- Overall good performance for all energy balance fluxes
  - Slight Rn underestimation



**ICOS**

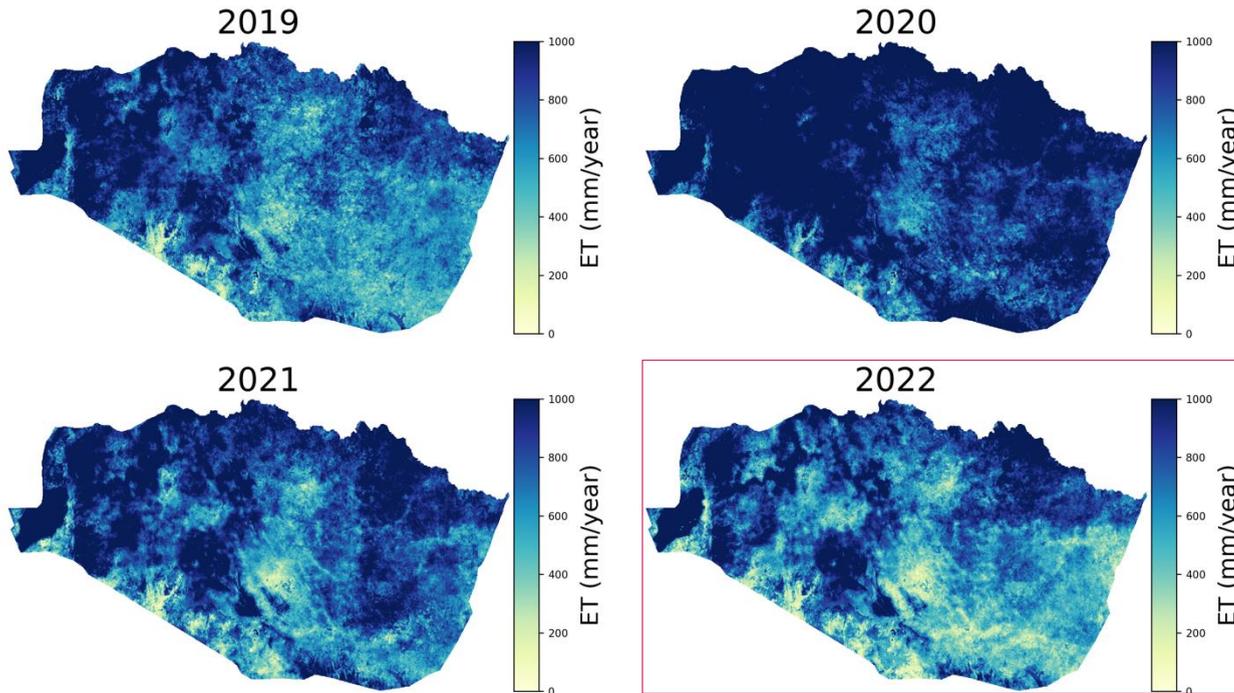
INTEGRATED CARBON OBSERVATION SYSTEM



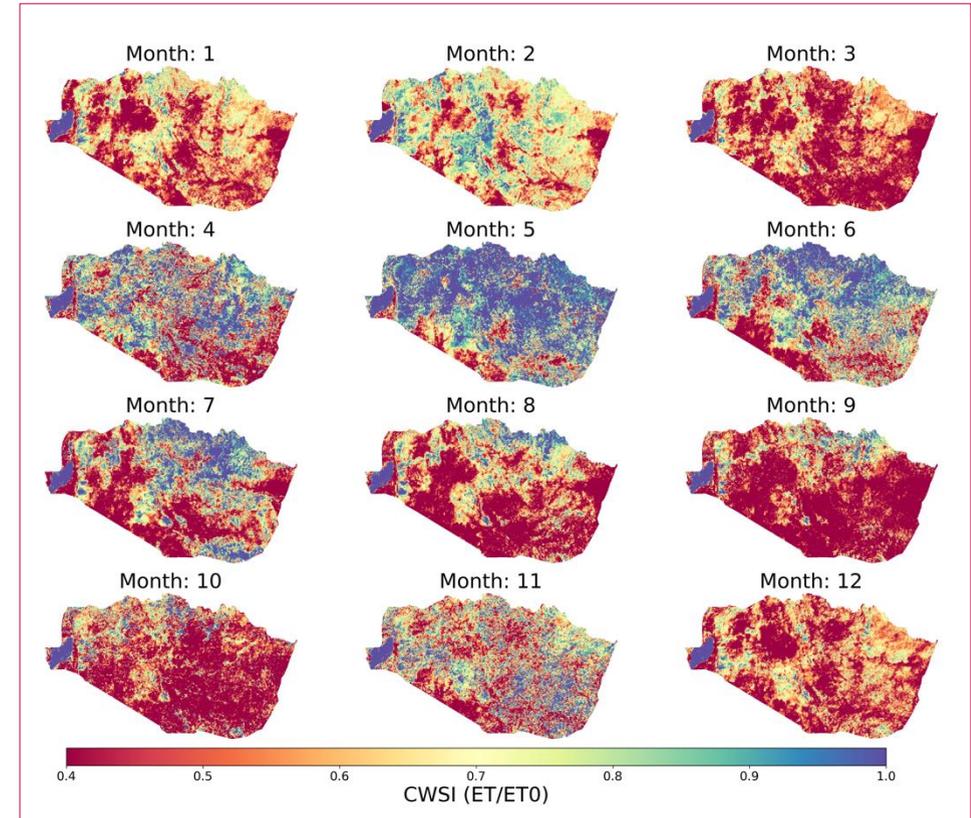
# 3SEB ET over Borena



## Annual ET (mm/year)



## Monthly Crop Water Stress Index (ET/ET<sub>0</sub>) during 2022

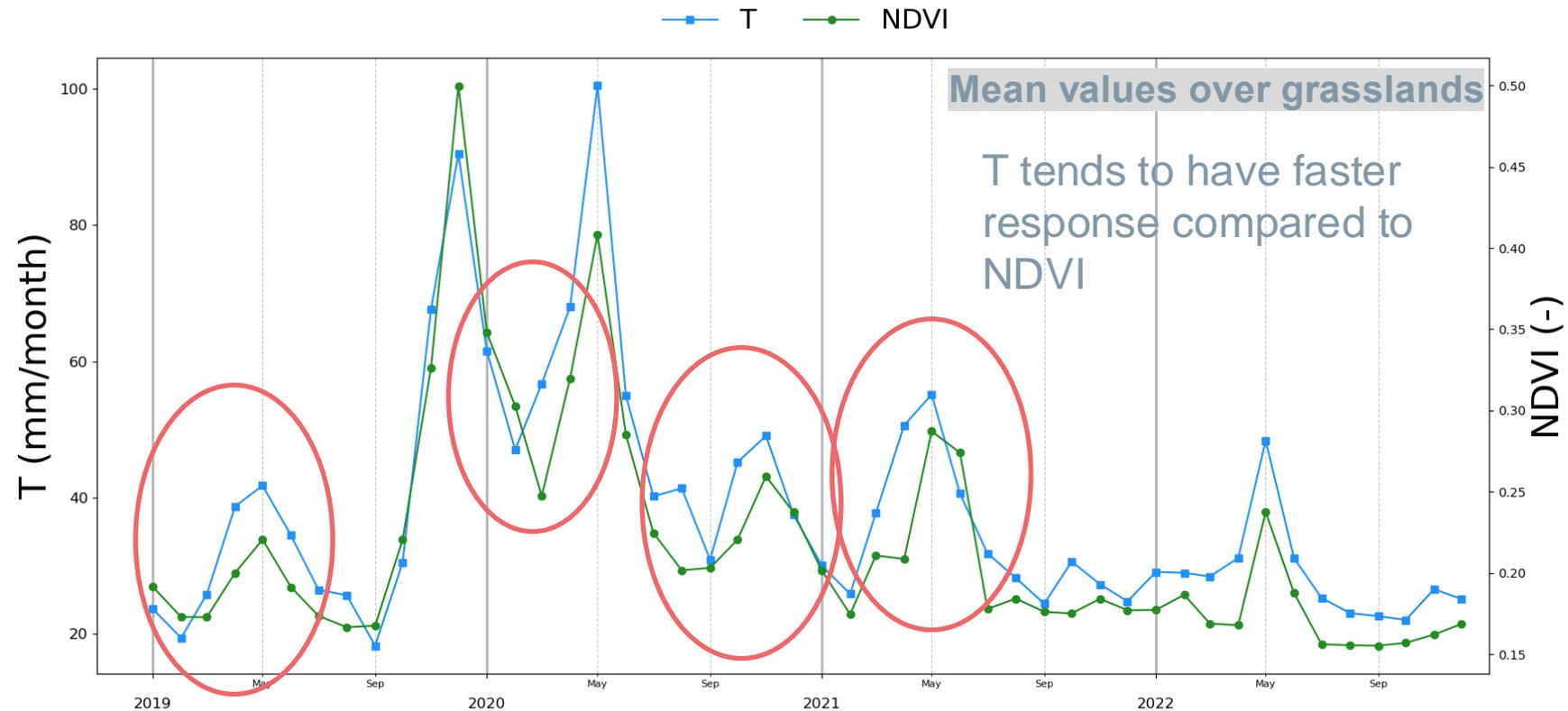


3SEB Sentinel-3 results (300m)

# ET partitioning



- TSEB/3SEB partition between **vegetation transpiration (T)** and **surface evaporation (E)** → better capture **vegetation response and functioning**



# ET for drought monitoring



- **ET highly relevant variable for drought monitoring**
  - Accounts for **atmospheric conditions, water availability** and **vegetation functioning**
  - However, ET models tend to have **greater uncertainty** in semi-arid and **sparsely vegetated ecosystems**
  - Need for adapted **modeling schemes** for complex semi-arid rangeland conditions prevalent in African continent
- **Next steps: evaluate 3SEB ET in African EC sites**
  - ZA-Kru, SN-Dhr, Sn-Nkr
- **Next steps: Develop and compare novel EO drought indicators**
  - CDI-NDVI
  - CDI-Bio [biophysical traits such as LAI, Cab or CWC]
  - CDI-ET
  - Compared against food security and socio-economic datasets



**FEWS NET**

Famine Early Warning Systems Network



# EO for Africa Symposium 2024

ESA-ESRIN, Frascati (Rome), IT

24/09/2024

# Thanks!

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Financiado por la Unión Europea  
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